

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Werner Zumbrunn et al.

Application No.: 10/711,389 Filed: 09/15/2004

Patent No.: _____ Issue Date: _____

Docket Number: 095473-0106

Entitled: TRANSDERMAL DRUG DELIVERY METHOD AND SYSTEM

CHRONO THERAPEUTICS INC. AND UNIVERSITY OF **CORPORATION AND UNIVERSITY**
BASEL
(Name of Assignees) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignees of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title, and interest
The extent (by percentage) of its ownership interest is %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was filed on July 13, 2009, in the United States Patent and Trademark Office for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Hans Werner VAN DE VENN To: FACHHOCHSCHULE SOLOTHURN
The document was recorded in the United States Patent and Trademark Office on 07/24/2007 at
Reel 019604, Frame 0184, or for which a copy thereof is attached.

2. From: Werner ZUMBRUNN To: FACHHOCHSCHULE SOLOTHURN
The document was recorded in the United States Patent and Trademark Office on 07/24/2007 at
Reel 019604, Frame 0688, or for which a copy thereof is attached.

3. From: FACHHOCHSCHULE SOLOTHURN To: UNIVERSITY OF BASEL
A copy of the Assignment is attached.

4. From: George IMANIDIS and Guy DIPIERRO To: CHRONO THERAPEUTICS, INC.
The document was recorded in the United States Patent and Trademark Office on 01/11/2006 at
Reel 017178, Frame 0266, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Michele M. Simkin
Signature

October 7, 2009

Date

Michele M. Simkin, Reg. No. 34,717

(202) 672-5538

Printed or Typed Name

Telephone Number

Registered Attorney

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Assignment of Patent Rights

Fachhochschule Nordwestschweiz, formerly Fachhochschule Solothurn, ("ASSIGNOR") having a residence at Schulthess-Allee 1, 5201 Brugg, Switzerland assigns to University of Basel ("ASSIGNEE") having its place of business at Petersgraben 35, 4003 Basel, Switzerland, its part in the entire right, title, and interest in and to the invention relating to "TRANSDERMAL DRUG DELIVERY METHOD AND SYSTEM", as fully described and claimed in the PCT patent application **PCT/IB2004/02947** accorded a filing date being September 13, 2004, and any and all applications for patent and patents in any and all countries, including all divisions, continuations, reissues, and extensions thereof and all rights of priority resulting from the filing of the Swiss patent application No. 01833/03 filed October 27, 2003, and authorize and request any official whose duty it is to issue patents to issue any patent on said invention or resulting therefrom to ASSIGNEE, or its successors, assigns, or nominees, and agrees that on request and without further consideration, but at the expense of ASSIGNEE, ASSIGNOR will testify in any legal proceedings, sign all lawful papers and make all rightful oaths.

ASSIGNOR further transfers and assigns to ASSIGNEE all causes of action, rights, and remedies arising under any such patent or application prior to or after the effective date of this Agreement. Effective date shall be March 15, 2006.

ASSIGNOR

Place/Date: Olten, 22.6.06


Prof. Rainer Schnaidt, Head Transfer

Place/Date: Muri, 20.6.06



Prof. Gerda Huber, Director FHNW Life Science

ASSIGNEE

Place/Date: Basel, 14.6.06


Prof. Dr. Peter Meier-Abt, Vicerektor

Place/Date: 13.6.06


Dr. Bruno H. Dalle Carbonare, Head Office of Technology Transfer